		TAL LETTER Patent Pending)	, PE			cket No. 7-11 /aba
In Re Application	Of: BEHROUZ POU		JUL 2 0 3	MON SE		
Application No. 10/760,530	Filing Date  January 21, 2004	Examin	OF TRACE	07380	Group Art Unit	Confirmation No. 1092
Title: CALL FOI	RWARDING SYSTE	MS, METHODS A	.ND NETWC	ORKS DEVICES	3	
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		COMMISSIONE		ENTS:		
Enclosed herewit	from Marc Pépin	r 37 C.F.R. 1.47(a)				
☐ A check in t ☐ The Director as describe ☐ Cha ☐ Cre	nal fee is required. the amount of or is hereby authorize	<b>\$130.00</b>		t Account No.		19-2550
Allan Brett (Reg. M SMART & BIGGA	AR			Dated: July	19, 2004	
P.O. Box 2999, Stat 900 - 55 Metcalfe S Ottawa, Ontario K1P 5Y6, Canada				on first class mail u	with the nder 37 C.F.R. 1.8 a	ee is being deposited U.S. Postal Service as and is addressed to the c 1450, Alexandria, VA
Tel.: 613-232-2486 cc:					re of Person Mailing ( ed Name of Person M	Correspondence ailing Correspondence

3.

# 2 0 200 E UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

: 10/760,530

Confirmation No.

**Applicant** 

: BEHROUZ POUSTCHI,

ET AL.

Filed

January 21, 2004

TC/A.U.

Examiner

:

Docket No.

: 50447-11

Customer No.

: 07380

Commissioner for Patents Alexandria, VA 22313-1450 U.S.A.

PETITION UNDER 37 C.F.R. 1.47(a) to allow a first inventor and a second inventor to make an application on behalf of both themselves and an omitted inventor because the omitted inventor refuses to join in the above identified application

#### Dear Sir:

- 1. In accordance with the provisions of 37 CFR. 1.47(a), the Applicant petitions the Commissioner to allow inventors (Behrouz Poustchi and Vineet Seth) to make this application on behalf of themselves and an omitted inventor (Natalie Ann Gagnon) because the omitted inventor has been uncooperative in signing the oath/declaration.
- 2. A partially executed "Declaration for Patent Application and Power of Attorney" and an Assignment in connection with the above-identified application are now being filed. The Declaration and the Assignment were signed by Mr. Behrouz Poustchi and Mr. Vineet Seth. Ms. Gagnon's signature is missing from the Declaration and from the Assignment because she has been uncooperative in signing and returning the documents.

### Proof of the Pertinent Facts

3. Natalie Ann Gagnon signed and submitted an agreement relating to intellectual property and confidentiality on September 27, 2002 at which time she was an employee of Nimcat Networks Inc. As an employee, she was under an obligation to assign to Nimcat Networks Inc. all rights in any invention made during the course of her employment. A copy of this document is attached as Exhibit "A".

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- 4. Smart & Biggar, the firm with which I am associated was appointed as Agent to represent the Applicants in the preparation of a United States Patent Application entitled CALL FORWARDING SYSTEMS, METHODS AND NETWORK DEVICES, which was subsequently filed January 21, 2004 and given Serial Number 10/760,530 ("the Application"), and which claimed priority from United States provisional application no. 60/441,121.
- 5. On April 14, 2004, I sent a first set of documents to the inventor including the Specification, Declaration, Assignment, and Drawings. Please see attached Exhibit "B" which is a copy of my cover letter from our file. Receipt of this letter was confirmed, please see Declaration of Marc Pépin attached hereto.
- 6. Marc Pépin, a technical consultant at Smart & Biggar, has made an attempt to have Ms. Gagnon execute the documents as attested in his declaration attached hereto.
- 7. I sent another letter to the inventor on May 3, 2004 by courier to have the inventor execute a new Combined Declaration and Power of Attorney and a new Assignment in which inventor Vineet Seth was added as an inventor. Please see attached Exhibit "C" which is a copy of my cover letter from our file. Receipt of this letter was confirmed, please see Declaration of Marc Pépin attached hereto.
- 8. Marc Pépin has made further attempts to have Ms. Gagnon execute the documents as attested in his Declaration attached hereto.
- 9. Marc Pépin sent a final letter to the inventor on June 3, 2004 by courier forwarding again the new Combined Declaration and Power of Attorney. A copy of this document is attached as Exhibit "D".
- 10. Marc Pépin has made again further attempts to have the inventor execute the documents as attested in his Declaration attached hereto. However, we have been instructed that the inventor refuses to speak to us and that we are not to contact her, please see his Declaration attached hereto.

#### The last known address of the omitted inventor

11. The last known address of the omitted inventor, Natalie Ann Gagnon, as of February 4, 2003 is:

165 Spruce Crescent, RR#1 Carleton Place, Ontario Canada K7C 3P1

## Relief Sought

12. Consequently, the Applicant petitions the Commissioner to allow inventors (Behrouz Poustchi and Vineet Seth) to make this Application on behalf of themselves and the omitted inventor (Natalie Ann Gagnon). Such action is necessary to preserve the rights of Nimcat Networks Inc. as failure to proceed could result in delay of issuance of the patent.

Respectfully submitted,

**SMART & BIGGAR** 

By

Allan Brett

Reg. No. 40,476

Tel.: (613) 232-2486 ext. 323

Date: July 19, 2004

RAB:MPP:acb:rld



Appl. No.

10/760,530

Confirmation No.

**Applicant** 

BEHROUZ POUSTCHI,

ET AL

Filed

January 21, 2004

TC/A.U.

.U.

Examiner

Docket No.

50447-11

Customer No.

: 07380

Commissioner for Patents Alexandria, VA 22313-1450 U.S.A.

Dear Sir:

I, Marc P. Pépin, of 1535 Chomley Crescent, Ottawa, Ontario, Canada K1G 0V9, make oath and say:

- 1. I am a technical consultant who assisted Mr. Allan Brett in the preparation of United States Patent Application Serial No. 10/760,530.
- 2. On April 28, 2004, after Mr. Allan Brett had sent a letter dated April 14, 2004 (Exhibit "B") to Ms. Natalie Ann Gagnon forwarding a Combined Declaration and Power of Attorney and an Assignment for execution, I called Ms. Natalie Ann Gagnon to indicate to her that a new Combined Declaration and Power of Attorney and Assignment would have to be executed because inventor Vineet Set was being added. Ms. Gagnon indicated that she had some issues with the Assignment that was sent to her on April 14, 2004. I asked Ms. Gagnon which part of the Assignment she did not agree with and I indicated to her that I would amend the Assignment to address her concerns.
- 3. On May 5, 2004; May 14, 2004; and May 31, 2004, after Mr. Allan Brett's letter of May 3, 2004 (Exhibit "C") forwarding a new Combined Declaration and Power of Attorney and a new Assignment, I telephoned Ms. Gagnon at her new employer (Centerpoint Technologies Inc.) and left a voicemail asking Ms. Gagnon to contact me regarding execution of the new Combined Declaration and Power of Attorney and the new Assignment.
- 4. On June 1, 2004, I telephoned Ms. Gagnon at her new employer regarding execution of

the new Combined Declaration and Power of Attorney and the new Assignment. Ms. Gagnon indicated to me that she would not execute the new Assignment and that she had not received the new Combined Declaration and Power of Attorney.

- 5. On June 3, 2004, I forwarded a package containing the new Combined Declaration and Power of Attorney to Ms. Gagnon for execution (Exhibit "D").
- 6. Proof of delivery of the above package of June 3, 2004 to the address of Ms. Gagnon's new employer (Centrepoint Technologies Inc.) is shown by a copy of an extract from the courier's manifest dated June 3, 2004 (Exhibit "E").
- 7. On June 21, 2004 and June 24, 2004, I telephoned Ms. Gagnon at her new employer and left a voicemail asking Ms. Gagnon to contact me regarding execution of the new Combined Declaration and Power of Attorney.
- 8. On June 25, 2004, I telephoned the receptionist at Ms. Gagnon's new employer asking whether Ms. Gagnon could be reached. I was transferred to Ms. Gagnon's manager who indicated that Ms. Gagnon is refusing to speak to me and that I should make no other attempts at contacting her.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

**SMART & BIGGAR** 

Marc Pépin

Tel.: (613) 232-2486 ext. 393

Date: July 19, 2004

RAB:MPP:acb:rld





## NIMCAT NETWORKS INCORPORATED

# EMPLOYEE AGREEMENT AS TO CONFIDENTIALITY OF INFORMATION AND OWNERSHIP OF PROPRIETARY PROPERTY

# IN CONSIDERATION OF EMPLOYMENT WITH NIMCAT NETWORKS INCORPORATED ("COMPANY") THE UNDERSIGNED ("EMPLOYEE") ACKNOWLEDGES AND AGREES THAT:

- 1- Employment with company will give Employee access to proprietary and confidential information belonging to Company, its customers, its suppliers and others (which proprietary and confidential information is collectively referred to in this agreement as "Confidential Information"); and
- 2- Employee may in the course of employment with the Company develop tangible and intangible property including without limitation, software, hardware, know-how, designs, techniques, documentation and other material regardless of form or media on which such is stored, some or all of which property may be protected by patents, copyrights, trade secrets, trade marks, industrial designs or mask works (which tangible and intangible property is collectively referred to in this agreement as Proprietary Property").
- 3- Employee, both during and after employment with the Company, shall keep all Confidential Information confidential and shall not use any Proprietary Property or Confidential Information except in the course of carrying out authorized activities on behalf of the Company or except as expressly authorized by Company in writing.
- 4- Employee shall not make any unauthorized use of any trade secrets or Proprietary Property of a third party during the course of the employment with the Company.
- 5- All Proprietary Property which Employee may develop in the course of employment with the Company, whether alone or jointly with others, shall be exclusive property of Company and Employee shall have no rights in any such Proprietary Property. At the request and expense of Company, Employee agrees to do all acts necessary and sign all documentation necessary in order to assign all rights in the Proprietary Property to Company and to enable Company to register patents, copyrights, trade marks, mask works, industrial designs and such other protections as Company deems advisable anywhere in the world.
- 6- If during the course of employment with the Company Employee develops any work which is protected by copyright, Employee hereby waives unconditionally any "moral rights" Employee may have in such work.
- 7- Employee, both during and after employment with the Company, shall not make any unauthorized use of the Company's computer systems, communications networks, databases or files. Employee shall adhere to all Company policies regarding the use of such computer systems, communication networks, databases or files.
- 8- Employee shall not use unauthorized software on Company equipment during the course of the employment with the Company.
- 9- Employee's employment with Company is and will continue to be subject to the terms and conditions of this agreement.

IN WITNESS WHEREOF employee has executed this agree	ement this 27 day of \$1,2002.
M	Matalie Cagnon
Witness	Employee Signature
Employee name (Please Print)	
Employee name (Please Print)	Employee Number

Allan Brett abrett@smart-biggar.ca

Our Ref: 50447-10; -11; -14; -15

April 14, 2004

Ms. Natalie Ann Gagnon Centrepoint Technologies Inc. 1545 Carling Avenue Suite 510 Ottawa, ON K1Z 8P9 BY COURIER
PRIVILEGED
AND CONFIDENTIAL

Dear Ms. Gagnon:

Re: U.S. Patent Application based on U.S. Provisional

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Patent Application No. 60/441481 Inventor(s): Behrouz Poustchi et al.

Current Owner(s): Behrouz Poustchi et al. Title: CALL TRANSFER SYSTEM, METHOD

AND NETWORK DEVICES

U.S. Patent Application based on U.S. Provisional Patent

Application No. 60/441121

Inventor(s): Behrouz Poustchi et al.

Current Owner(s): Behrouz Poustchi et al.

Title: CALL FORWARDING SYSTEMS, METHODS

AND NETWORK DEVICES

**PCT Patent Application** 

PCT No.: PCT/CA2004/000080 Inventor: Poustchi, Behrouz et al

Title: CALL TRANSFER SYSTEM, METHOD AND

NETWORK DEVICES

**PCT Patent Application** 

PCT No.: PCT/CA2004/000056 Inventor: Poustchi, Behrouz et al

Title: CALL FORWADING SYSTEMS, METHODS AND NETWORK DEVICES

Further to your telephone conversation of March 22, 2004 with Marc Pépin regarding the Powers of Attorney that were sent to you regarding the above-identified applications, these Powers of Attorney are routinely sent to inventors for execution when filing

international applications. They allow us to act on behalf of the Applicants.

Signing the Powers of Attorney in no way makes you liable for any fees incurred relating to these applications. Our dealings are with Nimcat Networks Inc. and any fees relating to the applications will be directed only to Nimcat Networks Inc.

I believe this satisfies your concerns.

I have also enclosed a Combined Declaration and Power of Attorney, and an Assignment for each of the corresponding United States cases together with a copy of the specification and drawings of each of these cases. Note that the specifications and drawings are privileged and confidential and should <u>not</u> be disclosed to anyone at Centrepoint Technologies Inc.

Please have the Powers of Attorney, the Combined Declaration and Powers of Attorney, and the Assignments executed and returned to us as soon as possible <u>together with the</u> specifications and drawings.

If you have any questions please feel free to call me at (613) 232-2486 ext. 323 or Marc Pépin at (613) 232-2486 ext. 393.

Yours very truly,

**SMART & BIGGAR** 

Allan Brett

RAB:MPP:acb Encl.

Mark Pépin mppepin@smart-biggar.ca

Our Ref: 50447-10; -11; -14; -15

May 3, 2004

Ms. Natalie Ann Gagnon Centrepoint Technologies Inc. 1545 Carling Avenue Suite 510 Ottawa, ON K1Z 8P9 BY COURIER
PRIVILEGED
AND CONFIDENTIAL

Dear Ms. Gagnon:

Re: U.S. Patent Application based on U.S. Provisional

Patent Application No. <u>60/441481</u> Inventor(s): Behrouz Poustchi et al.

Current Owner(s): Behrouz Poustchi et al. Title: CALL TRANSFER SYSTEM, METHOD

AND NETWORK DEVICES

U.S. Patent Application based on U.S. Provisional Patent

Application No. 60/441121

Inventor(s): Behrouz Poustchi et al.

Current Owner(s): Behrouz Poustchi et al.

Title: CALL FORWARDING SYSTEMS, METHODS

AND NETWORK DEVICES

**PCT Patent Application** 

PCT No.: PCT/CA2004/000080 Inventor: Poustchi, Behrouz et al

Title: CALL TRANSFER SYSTEM, METHOD AND

NETWORK DEVICES

**PCT Patent Application** 

PCT No.: PCT/CA2004/000056 Inventor: Poustchi, Behrouz et al

Title: CALL FORWADING SYSTEMS, METHODS AND NETWORK DEVICES

Further to our telephone conversation of April 28, 2004, enclosed herewith are Powers of Attorney for the above-identified PCT applications together with a Combined Declaration and Power of Attorney for the U.S. patent application on call forwarding. Note that as

pointed out to you in the telephone conversation, Vineet Seth has been added as an inventor. As such, the Combined Declaration and the Power of Attorney needs to be executed once again.

Please have the Powers of Attorney and the Combined Declaration and Power of Attorney executed as soon as possible and let us know when these have been executed so that we may have them picked up.

Regarding issues you raised with executing assignments for the U.S. cases, enclosed herewith are new assignments in which we have deleted some of the wording previously found in the original assignment. I believe the new wording in the assignments addresses your concerns. Please have the assignments executed and witnessed.

If you have any questions please feel free to call me at (613) 232-2486 ext. 393.

Thank you for your cooperation in this matter.

Yours very truly,

**SMART & BIGGAR** 

Marc Pépin

MPP:acb Encl.

cc Behrouz Poustchi

Marc P. Pépin mppepin@smart-biggar.ca

Our Ref: 50447-11

BY COURIER

Natalie Ann Gagnon Centrepoint Technologies Inc. 1545 Carling Avenue, Suite 510 Ottawa, Ontario K1Z 8P9 Canada

Dear Natalie:

June 3, 2004

Re: United States Patent Application

No.: 10/760530

Applicant: BEHROUZ POUSTCHI ET AL.

Inventor: Behrouz Poustchi et al.

Title: Call Forwarding Systems, Methods and

**Network Devices** 

Further to our telephone conversation of June 1, 2004, enclosed herewith is a Combined Declaration and Power of Attorney for the above-identified patent application. Please have the Combined Declaration and Power of Attorney executed as soon as possible. Please let us know when the document has been executed so that we may have it picked up.

If you have any questions please feel free to call me at (613) 232-2486 x 393.

Thank you for your co-operation in this matter.

Yours very truly,

**SMART & BIGGAR** 

Marc P. Pépin

MPP:sch Encl.

(613) 228-8045 PICK-UP/DELIVERY: ADMINISTRATION: FACSIMILE:

(613) 228-1612 (613) 228-6571

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Velocity Express Canada Ltd.

Ottawa, Ontario

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**MANIFEST SHEET** 

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Non-Rush: Allow up to 4 hrs completion city wide & up to 2 hrs cure to cure. Requests taken up to 1:00 pm daily city wide & up to 3:00pm core to cure for delivery same day.

Cans received after this time frame will be actioned next business murning.

Please note all services will be performed as close to our published time frames as
possible, however, adverse weather conditions and road construction (of which we have no
control over) may affect our delivery schedules.